#### Lattices and Topology

#### Guram Bezhanishvili and Mamuka Jibladze

ESSLLI'08 11-15.VIII.2008

• We have defined posets (partially ordered sets—sets equipped with a reflexive, antisymmetric, transitive binary relation);

- We have defined posets (partially ordered sets—sets equipped with a reflexive, antisymmetric, transitive binary relation);
- We have defined lattices as posets whose all nonempty finite subsets possess meet (glb, greatest lower bound) and join (lub, least upper bound);

- We have defined posets (partially ordered sets—sets equipped with a reflexive, antisymmetric, transitive binary relation);
- We have defined lattices as posets whose all nonempty finite subsets possess meet (glb, greatest lower bound) and join (lub, least upper bound);
- We have defined **bounded** lattices as lattices having the largest and least elements;

- We have defined posets (partially ordered sets—sets equipped with a reflexive, antisymmetric, transitive binary relation);
- We have defined lattices as posets whose all nonempty finite subsets possess meet (glb, greatest lower bound) and join (lub, least upper bound);
- We have defined **bounded** lattices as lattices having the largest and least elements;
- We have defined complete lattices as posets whose all subsets possess glb and lub;

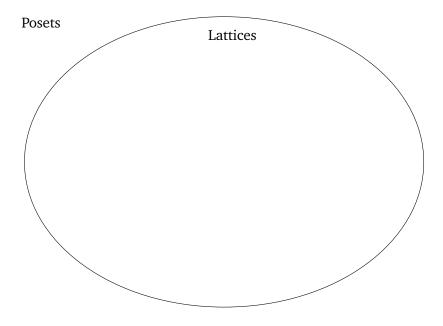
- We have defined posets (partially ordered sets—sets equipped with a reflexive, antisymmetric, transitive binary relation);
- We have defined lattices as posets whose all nonempty finite subsets possess meet (glb, greatest lower bound) and join (lub, least upper bound);
- We have defined **bounded** lattices as lattices having the largest and least elements;
- We have defined complete lattices as posets whose all subsets possess glb and lub;
- We have shown that lattices can be equivalently defined as sets equipped with two binary operations ∧ and ∨ which are idempotent, commutative, associative, and satisfy the absorption laws;

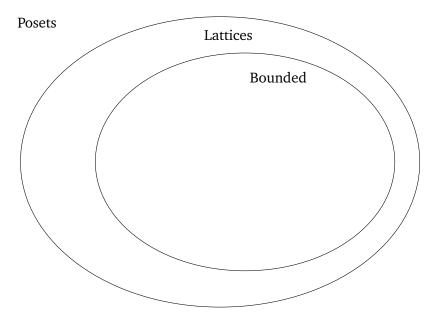
• We have defined distributive lattices and described the Birkhoff characterization asserting that a lattice is distributive iff it does not have any sublattices isomorphic to the pentagon or the diamond;

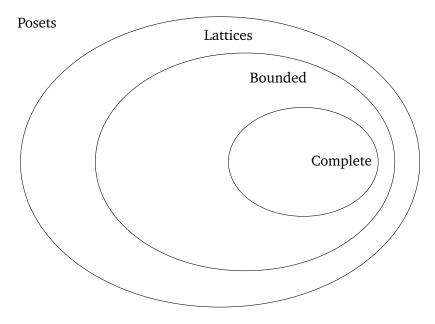
- We have defined distributive lattices and described the Birkhoff characterization asserting that a lattice is distributive iff it does not have any sublattices isomorphic to the pentagon or the diamond;
- We have defined Boolean lattices as those distributive lattices all of whose elements have the complement;

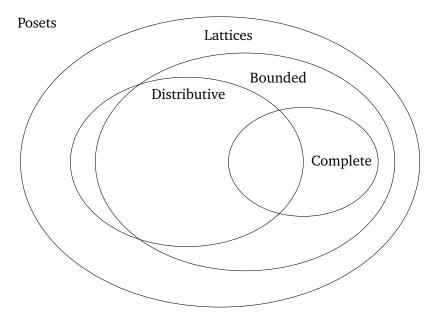
- We have defined distributive lattices and described the Birkhoff characterization asserting that a lattice is distributive iff it does not have any sublattices isomorphic to the pentagon or the diamond;
- We have defined Boolean lattices as those distributive lattices all of whose elements have the complement;
- Finally, we have defined Heyting lattices as those distributive lattices possessing the implication for each pair of their elements.

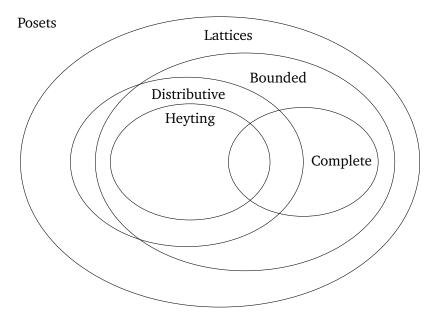
Posets

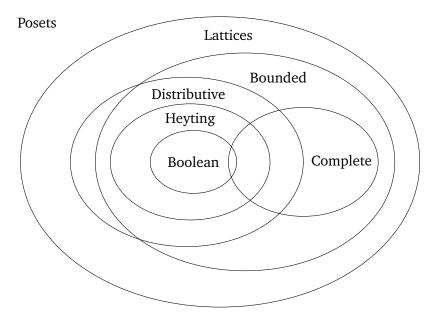












Lecture 2: Representation of distributive lattices

• Join-prime and meet-prime elements

- Join-prime and meet-prime elements
- Birkhoff's duality between finite distributive lattices and finite posets

- Join-prime and meet-prime elements
- Birkhoff's duality between finite distributive lattices and finite posets
- Prime filters and prime ideals

- Join-prime and meet-prime elements
- Birkhoff's duality between finite distributive lattices and finite posets
- Prime filters and prime ideals
- Representation of distributive lattices

From now on we will mainly concentrate on distributive lattices

From now on we will mainly concentrate on distributive lattices and develop representation theorems for them.

From now on we will mainly concentrate on distributive lattices and develop representation theorems for them.

Our first task is to develop representation of finite distributive lattices.

From now on we will mainly concentrate on distributive lattices and develop representation theorems for them.

Our first task is to develop representation of finite distributive lattices. This was first done by Garrett Birkhoff in the 1930ies.

From now on we will mainly concentrate on distributive lattices and develop representation theorems for them.

Our first task is to develop representation of finite distributive lattices. This was first done by Garrett Birkhoff in the 1930ies.

Our main tool will be the join-prime elements of the lattice.

From now on we will mainly concentrate on distributive lattices and develop representation theorems for them.

Our first task is to develop representation of finite distributive lattices. This was first done by Garrett Birkhoff in the 1930ies.

Our main tool will be the join-prime elements of the lattice.

Let *L* be a lattice. We call an element  $j \neq 0$  of *L* join-prime if  $j \leq a \lor b$  implies  $j \leq a$  or  $j \leq b$  for all  $a, b \in L$ .

From now on we will mainly concentrate on distributive lattices and develop representation theorems for them.

Our first task is to develop representation of finite distributive lattices. This was first done by Garrett Birkhoff in the 1930ies.

Our main tool will be the join-prime elements of the lattice.

Let *L* be a lattice. We call an element  $j \neq 0$  of *L* join-prime if  $j \leq a \lor b$  implies  $j \leq a$  or  $j \leq b$  for all  $a, b \in L$ . Let  $\mathfrak{J}(L)$  denote the set of join-prime elements of *L*.

From now on we will mainly concentrate on distributive lattices and develop representation theorems for them.

Our first task is to develop representation of finite distributive lattices. This was first done by Garrett Birkhoff in the 1930ies.

Our main tool will be the join-prime elements of the lattice.

Let *L* be a lattice. We call an element  $j \neq 0$  of *L* join-prime if  $j \leq a \lor b$  implies  $j \leq a$  or  $j \leq b$  for all  $a, b \in L$ . Let  $\mathfrak{J}(L)$  denote the set of join-prime elements of *L*.

Dually, we call an element  $m \neq 1$  of *L* meet-prime if  $a \land b \leq m$  implies  $a \leq m$  or  $b \leq m$  for all  $a, b \in L$ .

From now on we will mainly concentrate on distributive lattices and develop representation theorems for them.

Our first task is to develop representation of finite distributive lattices. This was first done by Garrett Birkhoff in the 1930ies.

Our main tool will be the join-prime elements of the lattice.

Let *L* be a lattice. We call an element  $j \neq 0$  of *L* join-prime if  $j \leq a \lor b$  implies  $j \leq a$  or  $j \leq b$  for all  $a, b \in L$ . Let  $\mathfrak{J}(L)$  denote the set of join-prime elements of *L*.

Dually, we call an element  $m \neq 1$  of *L* meet-prime if  $a \land b \leq m$  implies  $a \leq m$  or  $b \leq m$  for all  $a, b \in L$ . Let  $\mathfrak{M}(L)$  denote the set of meet-prime elements of *L*.

In the lattice  $\mathcal{U}(P)$  of upsets of a poset *P*, the upsets

$$\uparrow p := \{x \in P : x \ge p\}.$$

are join-prime elements for any  $p \in P$ .

In the lattice  $\mathcal{U}(P)$  of upsets of a poset *P*, the upsets

$$\uparrow p := \{x \in P : x \ge p\}.$$

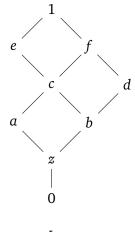
are join-prime elements for any  $p \in P$ .

Similarly, in the lattice  $\mathcal{D}(P)$  of downsets of *P*, the downsets

$$\downarrow p = \{x \in P : x \leqslant p\}$$

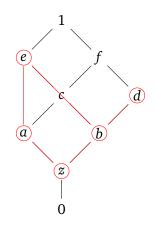
are join-prime for all  $p \in P$ .

#### Example:



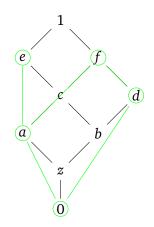
L

#### Example:



 $\mathfrak{J}(L) = \{a, b, d, e, z\}$ 

#### Example:



 $\mathfrak{M}(L)=\{a,d,e,f,0\}.$ 

The key fact for establishing duality between finite distributive lattices and finite posets is the following

The key fact for establishing duality between finite distributive lattices and finite posets is the following

**Theorem:** If *L* is a finite distributive lattice, then each element  $a \neq 0$  of *L* is the join of the join-prime elements of *L* underneath *a*; that is,

$$a = \bigvee \{ j \in \mathfrak{J}(L) : j \leqslant a \}.$$

The key fact for establishing duality between finite distributive lattices and finite posets is the following

**Theorem:** If *L* is a finite distributive lattice, then each element  $a \neq 0$  of *L* is the join of the join-prime elements of *L* underneath *a*; that is,

$$a = \bigvee \{ j \in \mathfrak{J}(L) : j \leqslant a \}.$$

**Proof:** It is sufficient to show that if  $a \not\leq b$ , then there exists  $j \in \mathfrak{J}(L)$  such that  $j \leq a$  and  $j \leq b$ .

The key fact for establishing duality between finite distributive lattices and finite posets is the following

**Theorem:** If *L* is a finite distributive lattice, then each element  $a \neq 0$  of *L* is the join of the join-prime elements of *L* underneath *a*; that is,

$$a = \bigvee \{ j \in \mathfrak{J}(L) : j \leqslant a \}.$$

**Proof:** It is sufficient to show that if  $a \leq b$ , then there exists  $j \in \mathfrak{J}(L)$  such that  $j \leq a$  and  $j \leq b$ . If *a* is join-prime, then we are done.

The key fact for establishing duality between finite distributive lattices and finite posets is the following

**Theorem:** If *L* is a finite distributive lattice, then each element  $a \neq 0$  of *L* is the join of the join-prime elements of *L* underneath *a*; that is,

$$a = \bigvee \{ j \in \mathfrak{J}(L) : j \leqslant a \}.$$

**Proof:** It is sufficient to show that if  $a \leq b$ , then there exists  $j \in \mathfrak{J}(L)$  such that  $j \leq a$  and  $j \leq b$ . If *a* is join-prime, then we are done. If not, then there exist  $c, d \in L$  such that  $c \lor d = a$ .

The key fact for establishing duality between finite distributive lattices and finite posets is the following

**Theorem:** If *L* is a finite distributive lattice, then each element  $a \neq 0$  of *L* is the join of the join-prime elements of *L* underneath *a*; that is,

$$a = \bigvee \{ j \in \mathfrak{J}(L) : j \leqslant a \}.$$

**Proof:** It is sufficient to show that if  $a \leq b$ , then there exists  $j \in \mathfrak{J}(L)$  such that  $j \leq a$  and  $j \leq b$ . If *a* is join-prime, then we are done. If not, then there exist  $c, d \in L$  such that  $c \lor d = a$ . Since  $a \leq b$ , one of *c*, *d* is not underneath *b*.

The key fact for establishing duality between finite distributive lattices and finite posets is the following

**Theorem:** If *L* is a finite distributive lattice, then each element  $a \neq 0$  of *L* is the join of the join-prime elements of *L* underneath *a*; that is,

$$a = \bigvee \{ j \in \mathfrak{J}(L) : j \leqslant a \}.$$

**Proof:** It is sufficient to show that if  $a \leq b$ , then there exists  $j \in \mathfrak{J}(L)$  such that  $j \leq a$  and  $j \leq b$ . If *a* is join-prime, then we are done. If not, then there exist  $c, d \in L$  such that  $c \lor d = a$ . Since  $a \leq b$ , one of *c*, *d* is not underneath *b*. Suppose that  $c \leq b$ .

The key fact for establishing duality between finite distributive lattices and finite posets is the following

**Theorem:** If *L* is a finite distributive lattice, then each element  $a \neq 0$  of *L* is the join of the join-prime elements of *L* underneath *a*; that is,

 $a = \bigvee \{ j \in \mathfrak{J}(L) : j \leqslant a \}.$ 

**Proof:** It is sufficient to show that if  $a \leq b$ , then there exists  $j \in \mathfrak{J}(L)$  such that  $j \leq a$  and  $j \leq b$ . If *a* is join-prime, then we are done. If not, then there exist  $c, d \in L$  such that  $c \lor d = a$ . Since  $a \leq b$ , one of c, d is not underneath *b*. Suppose that  $c \leq b$ . If *c* is join-prime, then we are done.

The key fact for establishing duality between finite distributive lattices and finite posets is the following

**Theorem:** If *L* is a finite distributive lattice, then each element  $a \neq 0$  of *L* is the join of the join-prime elements of *L* underneath *a*; that is,

 $a = \bigvee \{ j \in \mathfrak{J}(L) : j \leqslant a \}.$ 

**Proof:** It is sufficient to show that if  $a \leq b$ , then there exists  $j \in \mathfrak{J}(L)$  such that  $j \leq a$  and  $j \leq b$ . If *a* is join-prime, then we are done. If not, then there exist  $c, d \in L$  such that  $c \lor d = a$ . Since  $a \leq b$ , one of c, d is not underneath *b*. Suppose that  $c \leq b$ . If *c* is join-prime, then we are done. If not, then the process will continue.

The key fact for establishing duality between finite distributive lattices and finite posets is the following

**Theorem:** If *L* is a finite distributive lattice, then each element  $a \neq 0$  of *L* is the join of the join-prime elements of *L* underneath *a*; that is,

 $a = \bigvee \{ j \in \mathfrak{J}(L) : j \leqslant a \}.$ 

**Proof:** It is sufficient to show that if  $a \leq b$ , then there exists  $j \in \mathfrak{J}(L)$  such that  $j \leq a$  and  $j \leq b$ . If *a* is join-prime, then we are done. If not, then there exist  $c, d \in L$  such that  $c \lor d = a$ . Since  $a \leq b$ , one of c, d is not underneath *b*. Suppose that  $c \leq b$ . If *c* is join-prime, then we are done. If not, then the process will continue. Since *L* is finite, the process will have to terminate.

The key fact for establishing duality between finite distributive lattices and finite posets is the following

**Theorem:** If *L* is a finite distributive lattice, then each element  $a \neq 0$  of *L* is the join of the join-prime elements of *L* underneath *a*; that is,

 $a = \bigvee \{ j \in \mathfrak{J}(L) : j \leqslant a \}.$ 

**Proof:** It is sufficient to show that if  $a \leq b$ , then there exists  $j \in \mathfrak{J}(L)$  such that  $j \leq a$  and  $j \leq b$ . If *a* is join-prime, then we are done. If not, then there exist  $c, d \in L$  such that  $c \lor d = a$ . Since  $a \leq b$ , one of c, d is not underneath *b*. Suppose that  $c \leq b$ . If *c* is join-prime, then we are done. If not, then the process will continue. Since *L* is finite, the process will have to terminate. The stage where it terminates produces a join-prime element *j* of *L* such that  $j \leq a$  and  $j \leq b$ .

The key fact for establishing duality between finite distributive lattices and finite posets is the following

**Theorem:** If *L* is a finite distributive lattice, then each element  $a \neq 0$  of *L* is the join of the join-prime elements of *L* underneath *a*; that is,

 $a = \bigvee \{ j \in \mathfrak{J}(L) : j \leqslant a \}.$ 

**Proof:** It is sufficient to show that if  $a \leq b$ , then there exists  $j \in \mathfrak{J}(L)$  such that  $j \leq a$  and  $j \leq b$ . If *a* is join-prime, then we are done. If not, then there exist  $c, d \in L$  such that  $c \lor d = a$ . Since  $a \leq b$ , one of c, d is not underneath *b*. Suppose that  $c \leq b$ . If *c* is join-prime, then we are done. If not, then the process will continue. Since *L* is finite, the process will have to terminate. The stage where it terminates produces a join-prime element *j* of *L* such that  $j \leq a$  and  $j \leq b$ .

**Remark:** Note that all we used in the proof is that there are no infinite descending chains in *L*.

Now with each finite distributive lattice *L* we associate its dual poset  $L_* = (\mathfrak{J}(L), \ge)$  of join-prime elements of *L*.

Now with each finite distributive lattice *L* we associate its dual poset  $L_* = (\mathfrak{J}(L), \ge)$  of join-prime elements of *L*.

Conversely, with each finite poset  $(P, \leq)$  we associate the distributive lattice  $P^* = \mathcal{U}(P)$  of upsets of *P*.

Now with each finite distributive lattice *L* we associate its dual poset  $L_* = (\mathfrak{J}(L), \ge)$  of join-prime elements of *L*.

Conversely, with each finite poset  $(P, \leq)$  we associate the distributive lattice  $P^* = \mathcal{U}(P)$  of upsets of *P*.

So we have

$$L \mapsto L_* \mapsto {L_*}^*$$

and

$$P \mapsto P^* \mapsto {P^*}_*$$

**Theorem:** *L* is isomorphic to  $L_*^*$ .

**Theorem:** *L* is isomorphic to  $L_*^*$ .

**Proof** (Sketch). Define  $\phi : L \to {L_*}^*$  by  $\phi(a) = \{j \in \mathfrak{J}(L) : j \leq a\}$ .

**Theorem:** *L* is isomorphic to  $L_*^*$ .

**Proof** (Sketch). Define  $\phi : L \to L_*^*$  by  $\phi(a) = \{j \in \mathfrak{J}(L) : j \leq a\}$ . It follows from transitivity of  $\geq$  that  $\{j \in \mathfrak{J}(L) : j \leq a\}$  is an upset of  $L_*$ , thus  $\phi$  is well-defined.

**Theorem:** *L* is isomorphic to  $L_*^*$ .

**Proof** (Sketch). Define  $\phi : L \to L_*^*$  by  $\phi(a) = \{j \in \mathfrak{J}(L) : j \leq a\}$ . It follows from transitivity of  $\geq$  that  $\{j \in \mathfrak{J}(L) : j \leq a\}$  is an upset of  $L_*$ , thus  $\phi$  is well-defined.

 $\phi(a \wedge b) = \phi(a) \cap \phi(b)$  by definition of meets;

**Theorem:** *L* is isomorphic to  $L_*^*$ .

**Proof** (Sketch). Define  $\phi : L \to L_*^*$  by  $\phi(a) = \{j \in \mathfrak{J}(L) : j \leq a\}$ . It follows from transitivity of  $\geq$  that  $\{j \in \mathfrak{J}(L) : j \leq a\}$  is an upset of  $L_*$ , thus  $\phi$  is well-defined.

 $\phi(a \wedge b) = \phi(a) \cap \phi(b)$  by definition of meets;

 $\phi(a \lor b) = \phi(a) \cup \phi(b)$  by definition of prime elements;

**Theorem:** *L* is isomorphic to  $L_*^*$ .

**Proof** (Sketch). Define  $\phi : L \to L_*^*$  by  $\phi(a) = \{j \in \mathfrak{J}(L) : j \leq a\}$ . It follows from transitivity of  $\geq$  that  $\{j \in \mathfrak{J}(L) : j \leq a\}$  is an upset of  $L_*$ , thus  $\phi$  is well-defined.

 $\phi(a \wedge b) = \phi(a) \cap \phi(b)$  by definition of meets;

 $\phi(a \lor b) = \phi(a) \cup \phi(b)$  by definition of prime elements;

thus  $\phi$  is a lattice homomorphism.

**Theorem:** *L* is isomorphic to  $L_*^*$ .

**Proof** (Sketch). Define  $\phi : L \to L_*^*$  by  $\phi(a) = \{j \in \mathfrak{J}(L) : j \leq a\}$ . It follows from transitivity of  $\geq$  that  $\{j \in \mathfrak{J}(L) : j \leq a\}$  is an upset of  $L_*$ , thus  $\phi$  is well-defined.

 $\phi(a \wedge b) = \phi(a) \cap \phi(b)$  by definition of meets;

 $\phi(a \lor b) = \phi(a) \cup \phi(b)$  by definition of prime elements;

thus  $\phi$  is a lattice homomorphism.

To see that  $\phi$  is onto, let *U* be an upset of  $L_*$ .

**Theorem:** *L* is isomorphic to  $L_*^*$ .

**Proof** (Sketch). Define  $\phi : L \to L_*^*$  by  $\phi(a) = \{j \in \mathfrak{J}(L) : j \leq a\}$ . It follows from transitivity of  $\geq$  that  $\{j \in \mathfrak{J}(L) : j \leq a\}$  is an upset of  $L_*$ , thus  $\phi$  is well-defined.

 $\phi(a \wedge b) = \phi(a) \cap \phi(b)$  by definition of meets;

 $\phi(a \vee b) = \phi(a) \cup \phi(b)$  by definition of prime elements;

thus  $\phi$  is a lattice homomorphism.

To see that  $\phi$  is onto, let *U* be an upset of  $L_*$ . Let  $a = \bigvee U$ .

**Theorem:** *L* is isomorphic to  $L_*^*$ .

**Proof** (Sketch). Define  $\phi : L \to L_*^*$  by  $\phi(a) = \{j \in \mathfrak{J}(L) : j \leq a\}$ . It follows from transitivity of  $\geq$  that  $\{j \in \mathfrak{J}(L) : j \leq a\}$  is an upset of  $L_*$ , thus  $\phi$  is well-defined.

 $\phi(a \wedge b) = \phi(a) \cap \phi(b)$  by definition of meets;

 $\phi(a \lor b) = \phi(a) \cup \phi(b)$  by definition of prime elements;

thus  $\phi$  is a lattice homomorphism.

To see that  $\phi$  is onto, let U be an upset of  $L_*$ . Let  $a = \bigvee U$ . It is easy to see that  $U \subseteq \phi(a)$ .

**Theorem:** *L* is isomorphic to  $L_*^*$ .

**Proof** (Sketch). Define  $\phi : L \to L_*^*$  by  $\phi(a) = \{j \in \mathfrak{J}(L) : j \leq a\}$ . It follows from transitivity of  $\geq$  that  $\{j \in \mathfrak{J}(L) : j \leq a\}$  is an upset of  $L_*$ , thus  $\phi$  is well-defined.

 $\phi(a \wedge b) = \phi(a) \cap \phi(b)$  by definition of meets;

 $\phi(a \lor b) = \phi(a) \cup \phi(b)$  by definition of prime elements;

thus  $\phi$  is a lattice homomorphism.

To see that  $\phi$  is onto, let U be an upset of  $L_*$ . Let  $a = \bigvee U$ . It is easy to see that  $U \subseteq \phi(a)$ . Moreover it follows from the defining property of prime elements that  $\phi(a) \subseteq U$ .

**Theorem:** *L* is isomorphic to  $L_*^*$ .

**Proof** (Sketch). Define  $\phi : L \to L_*^*$  by  $\phi(a) = \{j \in \mathfrak{J}(L) : j \leq a\}$ . It follows from transitivity of  $\geq$  that  $\{j \in \mathfrak{J}(L) : j \leq a\}$  is an upset of  $L_*$ , thus  $\phi$  is well-defined.

 $\phi(a \wedge b) = \phi(a) \cap \phi(b)$  by definition of meets;

 $\phi(a \lor b) = \phi(a) \cup \phi(b)$  by definition of prime elements;

thus  $\phi$  is a lattice homomorphism.

To see that  $\phi$  is onto, let U be an upset of  $L_*$ . Let  $a = \bigvee U$ . It is easy to see that  $U \subseteq \phi(a)$ . Moreover it follows from the defining property of prime elements that  $\phi(a) \subseteq U$ . Therefore  $\phi(a) = U$ , and so  $\phi$  is onto.

**Theorem:** *L* is isomorphic to  $L_*^*$ .

**Proof** (Sketch). Define  $\phi : L \to L_*^*$  by  $\phi(a) = \{j \in \mathfrak{J}(L) : j \leq a\}$ . It follows from transitivity of  $\geq$  that  $\{j \in \mathfrak{J}(L) : j \leq a\}$  is an upset of  $L_*$ , thus  $\phi$  is well-defined.

 $\phi(a \wedge b) = \phi(a) \cap \phi(b)$  by definition of meets;

 $\phi(a \lor b) = \phi(a) \cup \phi(b)$  by definition of prime elements;

thus  $\phi$  is a lattice homomorphism.

To see that  $\phi$  is onto, let *U* be an upset of  $L_*$ . Let  $a = \bigvee U$ . It is easy to see that  $U \subseteq \phi(a)$ . Moreover it follows from the defining property of prime elements that  $\phi(a) \subseteq U$ . Therefore  $\phi(a) = U$ , and so  $\phi$  is onto.

That  $\phi$  is 1-1 follows from  $a = \bigvee \{j \in \mathfrak{J}(L) : j \leq a\}$  for each  $a \in L$ .

**Theorem:** *L* is isomorphic to  $L_*^*$ .

**Proof** (Sketch). Define  $\phi : L \to L_*^*$  by  $\phi(a) = \{j \in \mathfrak{J}(L) : j \leq a\}$ . It follows from transitivity of  $\geq$  that  $\{j \in \mathfrak{J}(L) : j \leq a\}$  is an upset of  $L_*$ , thus  $\phi$  is well-defined.

 $\phi(a \wedge b) = \phi(a) \cap \phi(b)$  by definition of meets;

 $\phi(a \lor b) = \phi(a) \cup \phi(b)$  by definition of prime elements;

thus  $\phi$  is a lattice homomorphism.

To see that  $\phi$  is onto, let *U* be an upset of  $L_*$ . Let  $a = \bigvee U$ . It is easy to see that  $U \subseteq \phi(a)$ . Moreover it follows from the defining property of prime elements that  $\phi(a) \subseteq U$ . Therefore  $\phi(a) = U$ , and so  $\phi$  is onto.

That  $\phi$  is 1-1 follows from  $a = \bigvee \{j \in \mathfrak{J}(L) : j \leq a\}$  for each  $a \in L$ . Thus  $\phi$  is a lattice isomorphism.

**Theorem:** *P* is isomorphic to  $P^*_*$ .

**Theorem:** *P* is isomorphic to  $P^*_*$ . **Proof** (Sketch). Define  $\psi : P \to P^*_*$  by  $\psi(p) = \uparrow p$ .

**Theorem:** *P* is isomorphic to  $P^*_*$ .

**Proof** (Sketch). Define  $\psi : P \to P^*_*$  by  $\psi(p) = \uparrow p$ . As we saw,  $\uparrow p$  is join-prime in  $\mathscr{U}(P)$ . Thus,  $\psi$  is well-defined.

**Theorem:** *P* is isomorphic to  $P^*_*$ .

**Proof** (Sketch). Define  $\psi : P \to P^*_*$  by  $\psi(p) = \uparrow p$ . As we saw,  $\uparrow p$  is join-prime in  $\mathscr{U}(P)$ . Thus,  $\psi$  is well-defined. Moreover  $\psi$  is clearly 1-1.

**Theorem:** *P* is isomorphic to  $P^*_*$ .

**Proof** (Sketch). Define  $\psi : P \to P^*_*$  by  $\psi(p) = \uparrow p$ . As we saw,  $\uparrow p$  is join-prime in  $\mathscr{U}(P)$ . Thus,  $\psi$  is well-defined. Moreover  $\psi$  is clearly 1-1. Next, since *P* is finite, every join-prime element of  $\mathscr{U}(P)$  has the form  $\uparrow p$  for some  $p \in P$ 

**Theorem:** *P* is isomorphic to  $P^*_*$ .

**Proof** (Sketch). Define  $\psi : P \to P^*_*$  by  $\psi(p) = \uparrow p$ . As we saw,  $\uparrow p$  is join-prime in  $\mathscr{U}(P)$ . Thus,  $\psi$  is well-defined. Moreover  $\psi$  is clearly 1-1. Next, since *P* is finite, every join-prime element of  $\mathscr{U}(P)$  has the form  $\uparrow p$  for some  $p \in P$ , which means that  $\psi$  is onto.

**Theorem:** *P* is isomorphic to  $P^*_*$ .

**Proof** (Sketch). Define  $\psi : P \to P^*_*$  by  $\psi(p) = \uparrow p$ . As we saw,  $\uparrow p$  is join-prime in  $\mathscr{U}(P)$ . Thus,  $\psi$  is well-defined. Moreover  $\psi$  is clearly 1-1. Next, since *P* is finite, every join-prime element of  $\mathscr{U}(P)$  has the form  $\uparrow p$  for some  $p \in P$ , which means that  $\psi$  is onto. To show that  $\psi$  is an order-isomorphism, it remains to observe the following easy

**Theorem:** *P* is isomorphic to  $P^*_*$ .

**Proof** (Sketch). Define  $\psi : P \to P^*_*$  by  $\psi(p) = \uparrow p$ . As we saw,  $\uparrow p$  is join-prime in  $\mathscr{U}(P)$ . Thus,  $\psi$  is well-defined. Moreover  $\psi$  is clearly 1-1. Next, since *P* is finite, every join-prime element of  $\mathscr{U}(P)$  has the form  $\uparrow p$  for some  $p \in P$ , which means that  $\psi$  is onto. To show that  $\psi$  is an order-isomorphism, it remains to observe the following easy

**Fact:** For each  $p, q \in P$ , the following three conditions are equivalent:

- $p \leq q$ .
- $\uparrow q \subseteq \uparrow p$ .
- $\downarrow p \subseteq \downarrow q$ .

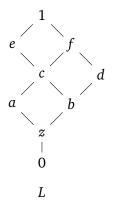
**Theorem:** *P* is isomorphic to  $P^*_*$ .

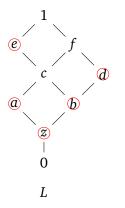
**Proof** (Sketch). Define  $\psi : P \to P^*_*$  by  $\psi(p) = \uparrow p$ . As we saw,  $\uparrow p$  is join-prime in  $\mathscr{U}(P)$ . Thus,  $\psi$  is well-defined. Moreover  $\psi$  is clearly 1-1. Next, since *P* is finite, every join-prime element of  $\mathscr{U}(P)$  has the form  $\uparrow p$  for some  $p \in P$ , which means that  $\psi$  is onto. To show that  $\psi$  is an order-isomorphism, it remains to observe the following easy

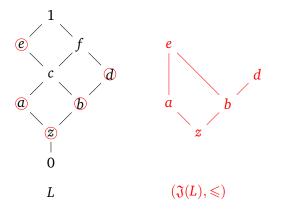
**Fact:** For each  $p, q \in P$ , the following three conditions are equivalent:

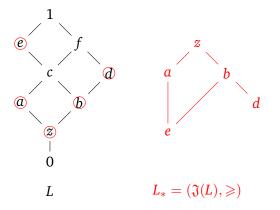
- $p \leq q$ .
- $\uparrow q \subseteq \uparrow p$ .
- $\downarrow p \subseteq \downarrow q$ .

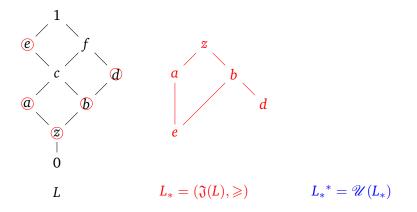
These theorems put together give us the Birkhoff duality between finite distributive lattices and finite posets.

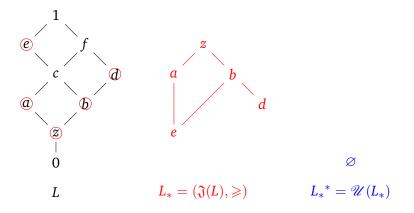


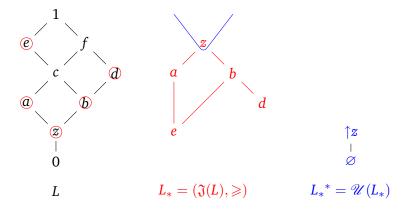


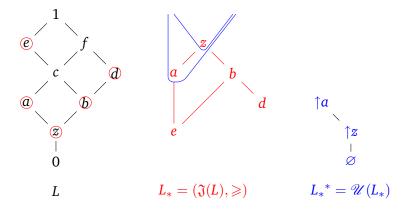


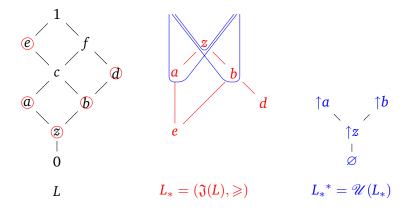


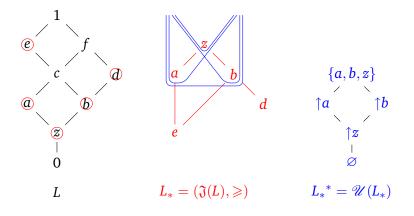


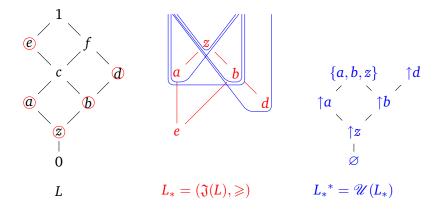


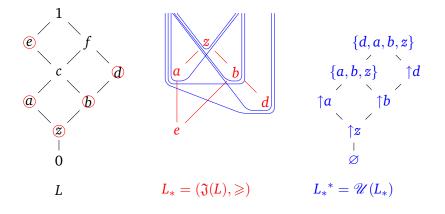


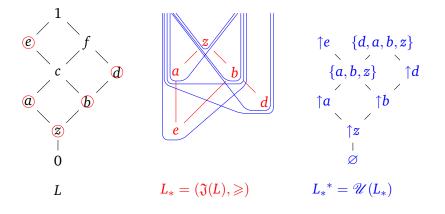


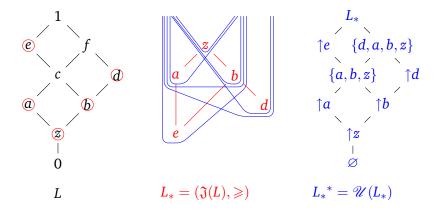












Note that instead of working with  $\mathfrak{J}(L)$  and  $\mathscr{U}(P)$ , we could alternatively work with  $\mathfrak{M}(L)$  and  $\mathscr{D}(P)$ . The result would be the same!

Note that instead of working with  $\mathfrak{J}(L)$  and  $\mathscr{U}(P)$ , we could alternatively work with  $\mathfrak{M}(L)$  and  $\mathscr{D}(P)$ . The result would be the same!

One of the consequences of the Birkhoff duality is the following representation theorem for finite distributive lattices:

Note that instead of working with  $\mathfrak{J}(L)$  and  $\mathscr{U}(P)$ , we could alternatively work with  $\mathfrak{M}(L)$  and  $\mathscr{D}(P)$ . The result would be the same!

One of the consequences of the Birkhoff duality is the following representation theorem for finite distributive lattices:

**Representation Theorem for Finite Distributive Lattices:** Every finite distributive lattice can be represented as the lattice of upsets (downsets) of some poset.

Note that instead of working with  $\mathfrak{J}(L)$  and  $\mathscr{U}(P)$ , we could alternatively work with  $\mathfrak{M}(L)$  and  $\mathscr{D}(P)$ . The result would be the same!

One of the consequences of the Birkhoff duality is the following representation theorem for finite distributive lattices:

**Representation Theorem for Finite Distributive Lattices:** Every finite distributive lattice can be represented as the lattice of upsets (downsets) of some poset.

It is our goal to extend the Birkhoff duality to all distributive lattices.

So far we have dealt only with finite distributive lattices.

So far we have dealt only with finite distributive lattices. The next natural question is whether the Brikhoff duality can be extended to infinite objects.

So far we have dealt only with finite distributive lattices. The next natural question is whether the Brikhoff duality can be extended to infinite objects.

It would be nice if the Birkhoff duality had a straightforward generalization to the infinite case.

So far we have dealt only with finite distributive lattices. The next natural question is whether the Brikhoff duality can be extended to infinite objects.

It would be nice if the Birkhoff duality had a straightforward generalization to the infinite case. Unfortunately, this is **not** the case.

So far we have dealt only with finite distributive lattices. The next natural question is whether the Brikhoff duality can be extended to infinite objects.

It would be nice if the Birkhoff duality had a straightforward generalization to the infinite case. Unfortunately, this is not the case. Why?

So far we have dealt only with finite distributive lattices. The next natural question is whether the Brikhoff duality can be extended to infinite objects.

It would be nice if the Birkhoff duality had a straightforward generalization to the infinite case. Unfortunately, this is not the case. Why? Because we may not have enough prime elements any longer.

So far we have dealt only with finite distributive lattices. The next natural question is whether the Brikhoff duality can be extended to infinite objects.

It would be nice if the Birkhoff duality had a straightforward generalization to the infinite case. Unfortunately, this is **not** the case. Why? Because we may not have enough prime elements any longer. In fact, there are infinite lattices with no prime elements whatsoever!

So far we have dealt only with finite distributive lattices. The next natural question is whether the Brikhoff duality can be extended to infinite objects.

It would be nice if the Birkhoff duality had a straightforward generalization to the infinite case. Unfortunately, this is **not** the case. Why? Because we may not have enough prime elements any longer. In fact, there are infinite lattices with no prime elements whatsoever!

**Example**: Consider the lattice of cofinite subsets of a given infinite set *S*.

So far we have dealt only with finite distributive lattices. The next natural question is whether the Brikhoff duality can be extended to infinite objects.

It would be nice if the Birkhoff duality had a straightforward generalization to the infinite case. Unfortunately, this is **not** the case. Why? Because we may not have enough prime elements any longer. In fact, there are infinite lattices with no prime elements whatsoever!

**Example**: Consider the lattice of cofinite subsets of a given infinite set *S*. Each cofinite subset *A* of *S* decomposes into a join of two strictly smaller cofinite subsets.

So far we have dealt only with finite distributive lattices. The next natural question is whether the Brikhoff duality can be extended to infinite objects.

It would be nice if the Birkhoff duality had a straightforward generalization to the infinite case. Unfortunately, this is **not** the case. Why? Because we may not have enough prime elements any longer. In fact, there are infinite lattices with no prime elements whatsoever!

**Example:** Consider the lattice of cofinite subsets of a given infinite set *S*. Each cofinite subset *A* of *S* decomposes into a join of two strictly smaller cofinite subsets. For example, take any two  $x, y \in A$ .

So far we have dealt only with finite distributive lattices. The next natural question is whether the Brikhoff duality can be extended to infinite objects.

It would be nice if the Birkhoff duality had a straightforward generalization to the infinite case. Unfortunately, this is **not** the case. Why? Because we may not have enough prime elements any longer. In fact, there are infinite lattices with no prime elements whatsoever!

**Example:** Consider the lattice of cofinite subsets of a given infinite set *S*. Each cofinite subset *A* of *S* decomposes into a join of two strictly smaller cofinite subsets. For example, take any two  $x, y \in A$ . Then

$$A = (A - \{x\}) \cup (A - \{y\}).$$

So far we have dealt only with finite distributive lattices. The next natural question is whether the Brikhoff duality can be extended to infinite objects.

It would be nice if the Birkhoff duality had a straightforward generalization to the infinite case. Unfortunately, this is **not** the case. Why? Because we may not have enough prime elements any longer. In fact, there are infinite lattices with no prime elements whatsoever!

**Example:** Consider the lattice of cofinite subsets of a given infinite set *S*. Each cofinite subset *A* of *S* decomposes into a join of two strictly smaller cofinite subsets. For example, take any two  $x, y \in A$ . Then

$$A = (A - \{x\}) \cup (A - \{y\}).$$

Thus this lattice does not have any join-prime elements.

What shall we do?

What shall we do? At this point we need to introduce a new concept of prime filter and its dual concept of prime ideal.

What shall we do? At this point we need to introduce a new concept of prime filter and its dual concept of prime ideal.

As we will see, in the finite case prime filters are in 1-1 correspondence with join-prime elements and prime ideals are in 1-1 correspondence with meet-prime elements.

What shall we do? At this point we need to introduce a new concept of prime filter and its dual concept of prime ideal.

As we will see, in the finite case prime filters are in 1-1 correspondence with join-prime elements and prime ideals are in 1-1 correspondence with meet-prime elements. Luckily for us, they will turn out to be the right generalization of prime elements when we move to the infinite case.

What shall we do? At this point we need to introduce a new concept of prime filter and its dual concept of prime ideal.

As we will see, in the finite case prime filters are in 1-1 correspondence with join-prime elements and prime ideals are in 1-1 correspondence with meet-prime elements. Luckily for us, they will turn out to be the right generalization of prime elements when we move to the infinite case.

To introduce prime filters and prime ideals, we first need to give a brief account of filters and ideals of a lattice.

Let *L* be a lattice. A nonempty subset *F* of *L* is called a filter of *L* if the following two conditions are satisfied:

- **9** From  $a \in F$  and  $a \leq b$  it follows that  $b \in F$ .
- **2** If  $a, b \in F$ , then  $a \wedge b \in F$ .

Let *L* be a lattice. A nonempty subset *F* of *L* is called a filter of *L* if the following two conditions are satisfied:

- **9** From  $a \in F$  and  $a \leq b$  it follows that  $b \in F$ .
- **2** If  $a, b \in F$ , then  $a \land b \in F$ .

Equivalently,  $F \neq \emptyset$  is a filter of *L* if for each  $a, b \in L$  we have  $a, b \in F$  iff  $a \land b \in F$ .

Let *L* be a lattice. A nonempty subset *F* of *L* is called a filter of *L* if the following two conditions are satisfied:

- **9** From  $a \in F$  and  $a \leq b$  it follows that  $b \in F$ .
- 2) If  $a, b \in F$ , then  $a \land b \in F$ .

Equivalently,  $F \neq \emptyset$  is a filter of *L* if for each  $a, b \in L$  we have  $a, b \in F$  iff  $a \land b \in F$ .

The dual notion of a filter is that of an ideal.

Let *L* be a lattice. A nonempty subset *F* of *L* is called a filter of *L* if the following two conditions are satisfied:

- From  $a \in F$  and  $a \leq b$  it follows that  $b \in F$ .
- **2** If  $a, b \in F$ , then  $a \land b \in F$ .

Equivalently,  $F \neq \emptyset$  is a filter of *L* if for each  $a, b \in L$  we have  $a, b \in F$  iff  $a \land b \in F$ .

The dual notion of a filter is that of an ideal.

A nonempty subset *I* of *L* is called an ideal of *L* if:

- From  $a \in I$  and  $b \leq a$  it follows that  $b \in I$ .
- **2** If  $a, b \in I$ , then  $a \lor b \in I$ .

Let *L* be a lattice. A nonempty subset *F* of *L* is called a filter of *L* if the following two conditions are satisfied:

- From  $a \in F$  and  $a \leq b$  it follows that  $b \in F$ .
- **2** If  $a, b \in F$ , then  $a \land b \in F$ .

Equivalently,  $F \neq \emptyset$  is a filter of *L* if for each  $a, b \in L$  we have  $a, b \in F$  iff  $a \land b \in F$ .

The dual notion of a filter is that of an ideal.

A nonempty subset *I* of *L* is called an ideal of *L* if:

- **9** From  $a \in I$  and  $b \leq a$  it follows that  $b \in I$ .
- ② If  $a, b \in I$ , then  $a \lor b \in I$ .

Equivalently,  $I \neq \emptyset$  is an ideal of *L* if for each  $a, b \in L$  we have  $a, b \in I$  iff  $a \lor b \in I$ .

**Example:** For  $a \in L$ , the upset  $\uparrow a$  is a filter and the downset  $\downarrow a$  is an ideal of *L*, called the principal filter and ideal of *L*, respectively.

**Example:** For  $a \in L$ , the upset  $\uparrow a$  is a filter and the downset  $\downarrow a$  is an ideal of *L*, called the principal filter and ideal of *L*, respectively.

In a finite lattice, the converse is also true; that is, every filter (ideal) is principal.

**Example:** For  $a \in L$ , the upset  $\uparrow a$  is a filter and the downset  $\downarrow a$  is an ideal of *L*, called the principal filter and ideal of *L*, respectively.

In a finite lattice, the converse is also true; that is, every filter (ideal) is principal.

But there are infinite lattices, where not every filter (ideal) is principal.

**Example:** For  $a \in L$ , the upset  $\uparrow a$  is a filter and the downset  $\downarrow a$  is an ideal of *L*, called the principal filter and ideal of *L*, respectively.

In a finite lattice, the converse is also true; that is, every filter (ideal) is principal.

But there are infinite lattices, where not every filter (ideal) is principal.

**Example:** In [0, 1] we have  $(\frac{1}{2}, 1]$  is a non-principal filter and  $[0, \frac{1}{2})$  is a non-principal ideal.

Let *L* be a lattice and  $F \neq L$  be a filter of *L*. We call *F* a prime filter of *L* if for all  $a, b \in L$  we have:

 $a \lor b \in F \Rightarrow a \in F \text{ or } b \in F.$ 

Let *L* be a lattice and  $F \neq L$  be a filter of *L*. We call *F* a prime filter of *L* if for all  $a, b \in L$  we have:

 $a \lor b \in F \Rightarrow a \in F \text{ or } b \in F.$ 

Let  $\mathscr{X}(L)$  denote the set of prime filters of L.

Let *L* be a lattice and  $F \neq L$  be a filter of *L*. We call *F* a prime filter of *L* if for all  $a, b \in L$  we have:

 $a \lor b \in F \Rightarrow a \in F \text{ or } b \in F.$ 

Let  $\mathscr{X}(L)$  denote the set of prime filters of *L*.

Dually, we call an ideal  $I \neq L$  of L a prime ideal of L if for all  $a, b \in L$  we have:

 $a \wedge b \in I \Rightarrow a \in I \text{ or } b \in I.$ 

Let *L* be a lattice and  $F \neq L$  be a filter of *L*. We call *F* a prime filter of *L* if for all  $a, b \in L$  we have:

 $a \lor b \in F \Rightarrow a \in F \text{ or } b \in F.$ 

Let  $\mathscr{X}(L)$  denote the set of prime filters of *L*.

Dually, we call an ideal  $I \neq L$  of L a prime ideal of L if for all  $a, b \in L$  we have:

$$a \wedge b \in I \Rightarrow a \in I \text{ or } b \in I.$$

Let  $\mathscr{Y}(L)$  denote the set of prime ideals of *L*.

Let *L* be a lattice and  $F \neq L$  be a filter of *L*. We call *F* a prime filter of *L* if for all  $a, b \in L$  we have:

 $a \lor b \in F \Rightarrow a \in F \text{ or } b \in F.$ 

Let  $\mathscr{X}(L)$  denote the set of prime filters of *L*.

Dually, we call an ideal  $I \neq L$  of L a prime ideal of L if for all  $a, b \in L$  we have:

$$a \wedge b \in I \Rightarrow a \in I \text{ or } b \in I.$$

Let  $\mathscr{Y}(L)$  denote the set of prime ideals of *L*.

Thus, a filter *F* is prime iff its complement I = L - F is an ideal, which is then a prime ideal.

Let *L* be a lattice and  $F \neq L$  be a filter of *L*. We call *F* a prime filter of *L* if for all  $a, b \in L$  we have:

 $a \lor b \in F \Rightarrow a \in F \text{ or } b \in F.$ 

Let  $\mathscr{X}(L)$  denote the set of prime filters of *L*.

Dually, we call an ideal  $I \neq L$  of L a prime ideal of L if for all  $a, b \in L$  we have:

 $a \wedge b \in I \Rightarrow a \in I \text{ or } b \in I.$ 

Let  $\mathscr{Y}(L)$  denote the set of prime ideals of *L*.

Thus, a filter *F* is prime iff its complement I = L - F is an ideal, which is then a prime ideal.

Similarly, an ideal *I* is prime iff its complement F = L - I is a filter, which is then a prime filter.

#### **Examples:**

(1) In a linear order, every upset is a prime filter, and every downset is a prime ideal.

#### **Examples:**

(1) In a linear order, every upset is a prime filter, and every downset is a prime ideal.

(2) Let  $a \in L$ . Then *a* is join-prime iff the principal filter  $\uparrow a$  is a prime filter, and *a* is meet-prime iff the principal ideal  $\downarrow a$  is a prime ideal.

#### **Examples:**

(1) In a linear order, every upset is a prime filter, and every downset is a prime ideal.

(2) Let  $a \in L$ . Then *a* is join-prime iff the principal filter  $\uparrow a$  is a prime filter, and *a* is meet-prime iff the principal ideal  $\downarrow a$  is a prime ideal.

Now we consider the map  $\mathfrak{J}(L) \to \mathscr{X}(L)$  given by  $a \mapsto \uparrow a$ .

#### **Examples:**

(1) In a linear order, every upset is a prime filter, and every downset is a prime ideal.

(2) Let  $a \in L$ . Then *a* is join-prime iff the principal filter  $\uparrow a$  is a prime filter, and *a* is meet-prime iff the principal ideal  $\downarrow a$  is a prime ideal.

Now we consider the map  $\mathfrak{J}(L) \to \mathscr{X}(L)$  given by  $a \mapsto \uparrow a$ .

Dually, we consider the map  $\mathfrak{M}(L) \to \mathscr{Y}(L)$  given by  $a \mapsto \downarrow a$ .

Since every filter of a finite lattice *L* is principal, from the above we obtain:

Since every filter of a finite lattice *L* is principal, from the above we obtain:

**Theorem:** In a finite lattice the map  $a \mapsto \uparrow a$  establishes order-isomorphism between the posets  $(\mathfrak{J}(L), \geq)$  and  $(\mathscr{X}(L), \subseteq)$ .

Since every filter of a finite lattice *L* is principal, from the above we obtain:

**Theorem:** In a finite lattice the map  $a \mapsto \uparrow a$  establishes order-isomorphism between the posets  $(\mathfrak{J}(L), \geq)$  and  $(\mathscr{X}(L), \subseteq)$ .

Similarly, the map  $a \mapsto \downarrow a$  establishes order-isomorphism between the posets  $(\mathfrak{M}(L), \leqslant)$  and  $(\mathscr{Y}(L), \subseteq)$ .

Since every filter of a finite lattice *L* is principal, from the above we obtain:

**Theorem:** In a finite lattice the map  $a \mapsto \uparrow a$  establishes order-isomorphism between the posets  $(\mathfrak{J}(L), \geq)$  and  $(\mathscr{X}(L), \subseteq)$ .

Similarly, the map  $a \mapsto \downarrow a$  establishes order-isomorphism between the posets  $(\mathfrak{M}(L), \leqslant)$  and  $(\mathscr{Y}(L), \subseteq)$ .

Consequently, if *L* is a finite lattice, then there is an order-isomorphism between the posets  $(\mathfrak{J}(L), \leq)$  and  $(\mathfrak{M}(L), \leq)$ .

As we already saw, in the infinite case we may not have enough join-prime elements.

As we already saw, in the infinite case we may not have enough join-prime elements. In fact, we may have none!

As we already saw, in the infinite case we may not have enough join-prime elements. In fact, we may have none! To give a representation of infinite latices, we will work with prime filters instead.

As we already saw, in the infinite case we may not have enough join-prime elements. In fact, we may have none! To give a representation of infinite latices, we will work with prime filters instead.

Let *L* be a distributive lattice.

As we already saw, in the infinite case we may not have enough join-prime elements. In fact, we may have none! To give a representation of infinite latices, we will work with prime filters instead.

Let L be a distributive lattice. We may as well assume that L is bounded.

As we already saw, in the infinite case we may not have enough join-prime elements. In fact, we may have none! To give a representation of infinite latices, we will work with prime filters instead.

Let *L* be a distributive lattice. We may as well assume that *L* is bounded. (If not, we can always adjoin new top and bottom to L.)

As we already saw, in the infinite case we may not have enough join-prime elements. In fact, we may have none! To give a representation of infinite latices, we will work with prime filters instead.

Let *L* be a distributive lattice. We may as well assume that *L* is bounded. (If not, we can always adjoin new top and bottom to L.)

We define  $\phi: L \to \mathscr{P}(\mathscr{X}(L))$  by

As we already saw, in the infinite case we may not have enough join-prime elements. In fact, we may have none! To give a representation of infinite latices, we will work with prime filters instead.

Let *L* be a distributive lattice. We may as well assume that *L* is bounded. (If not, we can always adjoin new top and bottom to L.)

We define  $\phi: L \to \mathscr{P}(\mathscr{X}(L))$  by

$$\phi(a) = \{ x \in \mathscr{X}(L) \mid a \in x \}$$

# Representation of distributive lattices

#### Lemma:



# Representation of distributive lattices

#### Lemma:

1 
$$\phi(0) = \emptyset$$
  
2  $\phi(1) = \mathscr{X}(0)$ 

$$\phi(1) = \mathscr{X}(L)$$

# Representation of distributive lattices

#### Lemma:

$$(1) = \mathscr{X}(L)$$

$$(a \wedge b) = \phi(a) \cap \phi(b)$$

#### Lemma:

$$(1) = \mathscr{X}(L)$$

$$(a \wedge b) = \phi(a) \cap \phi(b)$$

$$(a \lor b) = \phi(a) \cup \phi(b)$$

#### Lemma:

$$(\mathbf{2} \ \phi(\mathbf{1}) = \mathscr{X}(L)$$

$$(a \wedge b) = \phi(a) \cap \phi(b)$$

$$(a \lor b) = \phi(a) \cup \phi(b)$$

#### Lemma:

$$(\mathbf{2} \ \phi(\mathbf{1}) = \mathscr{X}(L)$$

$$(a \wedge b) = \phi(a) \cap \phi(b)$$

$$(a \lor b) = \phi(a) \cup \phi(b)$$

#### Lemma:

$$(1) = \mathscr{X}(L)$$

$$(a \wedge b) = \phi(a) \cap \phi(b)$$

 $(a \lor b) = \phi(a) \cup \phi(b)$ 

**Proof:** Since 0 belongs to no prime filter and 1 belongs to every prime filter, we obtain  $\phi(0) = \emptyset$  and  $\phi(1) = \mathscr{X}(L)$ . Moreover

 $x \in \phi(a \wedge b)$ 

#### Lemma:

$$(\mathbf{2} \ \phi(\mathbf{1}) = \mathscr{X}(L)$$

$$(a \wedge b) = \phi(a) \cap \phi(b)$$

$$(a \lor b) = \phi(a) \cup \phi(b)$$

**Proof:** Since 0 belongs to no prime filter and 1 belongs to every prime filter, we obtain  $\phi(0) = \emptyset$  and  $\phi(1) = \mathscr{X}(L)$ . Moreover

 $x \in \phi(a \wedge b)$  iff  $a \wedge b \in x$ 

#### Lemma:

$$(\mathbf{2} \ \phi(\mathbf{1}) = \mathscr{X}(L)$$

$$(a \wedge b) = \phi(a) \cap \phi(b)$$

**Proof:** Since 0 belongs to no prime filter and 1 belongs to every prime filter, we obtain  $\phi(0) = \emptyset$  and  $\phi(1) = \mathscr{X}(L)$ . Moreover

 $x \in \phi(a \land b) \text{ iff}$  $a \land b \in x \text{ iff}$  $a \in x \text{ and } b \in x$ 

#### Lemma:

$$(1) = \mathscr{X}(L)$$

$$(a \wedge b) = \phi(a) \cap \phi(b)$$

$$(a \lor b) = \phi(a) \cup \phi(b)$$

```
x \in \phi(a \land b) iff
a \land b \in x iff
a \in x and b \in x iff
x \in \phi(a) and x \in \phi(b)
```

#### Lemma:

$$(1) = \mathscr{X}(L)$$

$$(a \wedge b) = \phi(a) \cap \phi(b)$$

• 
$$\phi(a \lor b) = \phi(a) \cup \phi(b)$$

```
x \in \phi(a \land b) \text{ iff}

a \land b \in x \text{ iff}

a \in x \text{ and } b \in x \text{ iff}

x \in \phi(a) \text{ and } x \in \phi(b) \text{ iff}

x \in \phi(a) \cap \phi(b)
```

#### Lemma:

$$(1) = \mathscr{X}(L)$$

$$(a \wedge b) = \phi(a) \cap \phi(b)$$

$$(a \lor b) = \phi(a) \cup \phi(b)$$

```
x \in \phi(a \land b) \text{ iff} \\ a \land b \in x \text{ iff} \\ a \in x \text{ and } b \in x \text{ iff} \\ x \in \phi(a) \text{ and } x \in \phi(b) \text{ iff} \\ x \in \phi(a) \cap \phi(b) \\ \text{Thus } \phi(a \land b) = \phi(a) \cap \phi(b).
```

Furthermore,  $x \in \phi(a \lor b)$  iff  $a \lor b \in x$ .

Furthermore,  $x \in \phi(a \lor b)$  iff  $a \lor b \in x$ .

Since *x* is prime, this is equivalent to  $a \in x$  or  $b \in x$ 

Furthermore,  $x \in \phi(a \lor b)$  iff  $a \lor b \in x$ .

Since *x* is prime, this is equivalent to  $a \in x$  or  $b \in x$ 

which is equivalent to  $x \in \phi(a)$  or  $x \in \phi(b)$ 

Furthermore,  $x \in \phi(a \lor b)$  iff  $a \lor b \in x$ .

Since *x* is prime, this is equivalent to  $a \in x$  or  $b \in x$ 

which is equivalent to  $x \in \phi(a)$  or  $x \in \phi(b)$ 

which happens iff  $x \in \phi(a) \cup \phi(b)$ .

Furthermore,  $x \in \phi(a \lor b)$  iff  $a \lor b \in x$ .

Since *x* is prime, this is equivalent to  $a \in x$  or  $b \in x$ 

which is equivalent to  $x \in \phi(a)$  or  $x \in \phi(b)$ 

which happens iff  $x \in \phi(a) \cup \phi(b)$ .

Thus  $\phi(a \lor b) = \phi(a) \cup \phi(b)$ .

Furthermore,  $x \in \phi(a \lor b)$  iff  $a \lor b \in x$ .

Since *x* is prime, this is equivalent to  $a \in x$  or  $b \in x$ 

which is equivalent to  $x \in \phi(a)$  or  $x \in \phi(b)$ 

which happens iff  $x \in \phi(a) \cup \phi(b)$ .

Thus  $\phi(a \lor b) = \phi(a) \cup \phi(b)$ .

**Remark:** Note that  $\phi(a \lor b) = \phi(a) \cup \phi(b)$  is the only place in the lemma where we require our filters to be prime!

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{P}(\mathscr{X}(L))$ .

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{P}(\mathscr{X}(L))$ . But we can say more.

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{P}(\mathscr{X}(L))$ . But we can say more.

**Lemma:**  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$  for each  $a \in L$ .

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{P}(\mathscr{X}(L))$ . But we can say more.

**Lemma:**  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$  for each  $a \in L$ .

**Proof:** Let  $x \in \phi(a)$  and  $x \subseteq y$ .

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{P}(\mathscr{X}(L))$ . But we can say more.

**Lemma:**  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$  for each  $a \in L$ .

**Proof:** Let  $x \in \phi(a)$  and  $x \subseteq y$ . Then  $a \in x$ , and as  $x \subseteq y$ , we obtain  $a \in y$ .

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{P}(\mathscr{X}(L))$ . But we can say more.

**Lemma:**  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$  for each  $a \in L$ .

**Proof:** Let  $x \in \phi(a)$  and  $x \subseteq y$ . Then  $a \in x$ , and as  $x \subseteq y$ , we obtain  $a \in y$ . Therefore  $y \in \phi(a)$ , and so  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$ .

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{P}(\mathscr{X}(L))$ . But we can say more.

**Lemma:**  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$  for each  $a \in L$ .

**Proof:** Let  $x \in \phi(a)$  and  $x \subseteq y$ . Then  $a \in x$ , and as  $x \subseteq y$ , we obtain  $a \in y$ . Therefore  $y \in \phi(a)$ , and so  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$ .

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{U}(\mathscr{X}(L))$ .

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{P}(\mathscr{X}(L))$ . But we can say more.

**Lemma:**  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$  for each  $a \in L$ .

**Proof:** Let  $x \in \phi(a)$  and  $x \subseteq y$ . Then  $a \in x$ , and as  $x \subseteq y$ , we obtain  $a \in y$ . Therefore  $y \in \phi(a)$ , and so  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$ .

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{U}(\mathscr{X}(L))$ .

Our main concern is whether  $\phi$  is 1-1.

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{P}(\mathscr{X}(L))$ . But we can say more.

**Lemma:**  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$  for each  $a \in L$ .

**Proof:** Let  $x \in \phi(a)$  and  $x \subseteq y$ . Then  $a \in x$ , and as  $x \subseteq y$ , we obtain  $a \in y$ . Therefore  $y \in \phi(a)$ , and so  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$ .

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{U}(\mathscr{X}(L))$ .

Our main concern is whether  $\phi$  is 1-1. Luckily it is.

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{P}(\mathscr{X}(L))$ . But we can say more.

**Lemma:**  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$  for each  $a \in L$ .

**Proof:** Let  $x \in \phi(a)$  and  $x \subseteq y$ . Then  $a \in x$ , and as  $x \subseteq y$ , we obtain  $a \in y$ . Therefore  $y \in \phi(a)$ , and so  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$ .

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{U}(\mathscr{X}(L))$ .

Our main concern is whether  $\phi$  is 1-1. Luckily it is. But it requires an important lemma about the behavior of prime filters, known as the Stone lemma.

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{P}(\mathscr{X}(L))$ . But we can say more.

**Lemma:**  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$  for each  $a \in L$ .

**Proof:** Let  $x \in \phi(a)$  and  $x \subseteq y$ . Then  $a \in x$ , and as  $x \subseteq y$ , we obtain  $a \in y$ . Therefore  $y \in \phi(a)$ , and so  $\phi(a) \in \mathscr{U}(\mathscr{X}(L))$ .

Therefore,  $\phi$  is a lattice homomorphism from *L* into  $\mathscr{U}(\mathscr{X}(L))$ .

Our main concern is whether  $\phi$  is 1-1. Luckily it is. But it requires an important lemma about the behavior of prime filters, known as the Stone lemma. We will only state it and skip the proof.

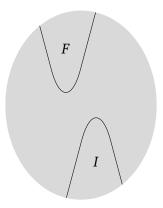
# Stone's lemma

**Stone's Lemma:** Let *L* be a bounded distributive lattice, *F* be a filter of *L* and *I* be an ideal of *L*.



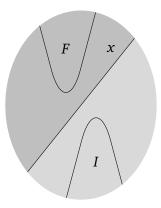
# Stone's lemma

**Stone's Lemma:** Let *L* be a bounded distributive lattice, *F* be a filter of *L* and *I* be an ideal of *L*. If *F* and *I* are disjoint



#### Stone's lemma

**Stone's Lemma:** Let *L* be a bounded distributive lattice, *F* be a filter of *L* and *I* be an ideal of *L*. If *F* and *I* are disjoint then there exists a prime filter x of *L* containing *F* and disjoint from *I*.



Having the Stone lemma available, it is easy to show that  $\phi$  is 1-1.

Having the Stone lemma available, it is easy to show that  $\phi$  is 1-1.

**Lemma:**  $\phi: L \to \mathscr{U}(\mathscr{X}(L))$  is 1-1.

Having the Stone lemma available, it is easy to show that  $\phi$  is 1-1.

**Lemma:**  $\phi: L \to \mathscr{U}(\mathscr{X}(L))$  is 1-1.

**Proof:** Let  $a, b \in L$  and  $a \neq b$ .

Having the Stone lemma available, it is easy to show that  $\phi$  is 1-1.

**Lemma:**  $\phi: L \to \mathscr{U}(\mathscr{X}(L))$  is 1-1.

**Proof:** Let  $a, b \in L$  and  $a \neq b$ . Then either  $a \leq b$  or  $b \leq a$ .

Having the Stone lemma available, it is easy to show that  $\phi$  is 1-1.

**Lemma:**  $\phi: L \to \mathscr{U}(\mathscr{X}(L))$  is 1-1.

**Proof:** Let  $a, b \in L$  and  $a \neq b$ . Then either  $a \leq b$  or  $b \leq a$ . Without loss of generality we may assume that  $a \leq b$ .

Having the Stone lemma available, it is easy to show that  $\phi$  is 1-1.

**Lemma:**  $\phi: L \to \mathscr{U}(\mathscr{X}(L))$  is 1-1.

**Proof:** Let  $a, b \in L$  and  $a \neq b$ . Then either  $a \leq b$  or  $b \leq a$ . Without loss of generality we may assume that  $a \leq b$ . Consequently, the filter  $\uparrow a$  is disjoint from the ideal  $\downarrow b$ .

Having the Stone lemma available, it is easy to show that  $\phi$  is 1-1.

**Lemma:**  $\phi: L \to \mathscr{U}(\mathscr{X}(L))$  is 1-1.

**Proof:** Let  $a, b \in L$  and  $a \neq b$ . Then either  $a \leq b$  or  $b \leq a$ . Without loss of generality we may assume that  $a \leq b$ . Consequently, the filter  $\uparrow a$  is disjoint from the ideal  $\downarrow b$ . By Stone's Lemma, there exists  $x \in \mathscr{X}(L)$  such that  $\uparrow a \subseteq x$  and  $x \cap \downarrow b = \emptyset$ .

Having the Stone lemma available, it is easy to show that  $\phi$  is 1-1.

**Lemma:**  $\phi: L \to \mathscr{U}(\mathscr{X}(L))$  is 1-1.

**Proof:** Let  $a, b \in L$  and  $a \neq b$ . Then either  $a \leq b$  or  $b \leq a$ . Without loss of generality we may assume that  $a \leq b$ . Consequently, the filter  $\uparrow a$  is disjoint from the ideal  $\downarrow b$ . By Stone's Lemma, there exists  $x \in \mathscr{X}(L)$  such that  $\uparrow a \subseteq x$  and  $x \cap \downarrow b = \emptyset$ . Therefore  $a \in x$  and  $b \notin x$ .

Having the Stone lemma available, it is easy to show that  $\phi$  is 1-1.

**Lemma:**  $\phi: L \to \mathscr{U}(\mathscr{X}(L))$  is 1-1.

**Proof:** Let  $a, b \in L$  and  $a \neq b$ . Then either  $a \leq b$  or  $b \leq a$ . Without loss of generality we may assume that  $a \leq b$ . Consequently, the filter  $\uparrow a$  is disjoint from the ideal  $\downarrow b$ . By Stone's Lemma, there exists  $x \in \mathscr{X}(L)$  such that  $\uparrow a \subseteq x$  and  $x \cap \downarrow b = \emptyset$ . Therefore  $a \in x$  and  $b \notin x$ . Thus  $x \in \phi(a)$  and  $x \notin \phi(b)$ 

Having the Stone lemma available, it is easy to show that  $\phi$  is 1-1.

**Lemma:**  $\phi: L \to \mathscr{U}(\mathscr{X}(L))$  is 1-1.

**Proof:** Let  $a, b \in L$  and  $a \neq b$ . Then either  $a \notin b$  or  $b \notin a$ . Without loss of generality we may assume that  $a \notin b$ . Consequently, the filter  $\uparrow a$  is disjoint from the ideal  $\downarrow b$ . By Stone's Lemma, there exists  $x \in \mathscr{X}(L)$  such that  $\uparrow a \subseteq x$  and  $x \cap \downarrow b = \emptyset$ . Therefore  $a \in x$  and  $b \notin x$ . Thus  $x \in \phi(a)$  and  $x \notin \phi(b)$  so  $\phi(a) \neq \phi(b)$ 

Having the Stone lemma available, it is easy to show that  $\phi$  is 1-1.

**Lemma:**  $\phi: L \to \mathscr{U}(\mathscr{X}(L))$  is 1-1.

**Proof:** Let  $a, b \in L$  and  $a \neq b$ . Then either  $a \leq b$  or  $b \leq a$ . Without loss of generality we may assume that  $a \leq b$ . Consequently, the filter  $\uparrow a$  is disjoint from the ideal  $\downarrow b$ . By Stone's Lemma, there exists  $x \in \mathscr{X}(L)$  such that  $\uparrow a \subseteq x$  and  $x \cap \downarrow b = \emptyset$ . Therefore  $a \in x$  and  $b \notin x$ . Thus  $x \in \phi(a)$  and  $x \notin \phi(b)$  so  $\phi(a) \neq \phi(b)$  and so  $\phi$  is 1-1.

Having the Stone lemma available, it is easy to show that  $\phi$  is 1-1.

**Lemma:**  $\phi: L \to \mathscr{U}(\mathscr{X}(L))$  is 1-1.

**Proof:** Let  $a, b \in L$  and  $a \neq b$ . Then either  $a \leq b$  or  $b \leq a$ . Without loss of generality we may assume that  $a \leq b$ . Consequently, the filter  $\uparrow a$  is disjoint from the ideal  $\downarrow b$ . By Stone's Lemma, there exists  $x \in \mathscr{X}(L)$  such that  $\uparrow a \subseteq x$  and  $x \cap \downarrow b = \emptyset$ . Therefore  $a \in x$  and  $b \notin x$ . Thus  $x \in \phi(a)$  and  $x \notin \phi(b)$  so  $\phi(a) \neq \phi(b)$  and so  $\phi$  is 1-1.

As a consequence, we arrive at the following representation theorem for distributive lattices:

Having the Stone lemma available, it is easy to show that  $\phi$  is 1-1.

**Lemma:**  $\phi: L \to \mathscr{U}(\mathscr{X}(L))$  is 1-1.

**Proof:** Let  $a, b \in L$  and  $a \neq b$ . Then either  $a \leq b$  or  $b \leq a$ . Without loss of generality we may assume that  $a \leq b$ . Consequently, the filter  $\uparrow a$  is disjoint from the ideal  $\downarrow b$ . By Stone's Lemma, there exists  $x \in \mathscr{X}(L)$  such that  $\uparrow a \subseteq x$  and  $x \cap \downarrow b = \emptyset$ . Therefore  $a \in x$  and  $b \notin x$ . Thus  $x \in \phi(a)$  and  $x \notin \phi(b)$  so  $\phi(a) \neq \phi(b)$  and so  $\phi$  is 1-1.

As a consequence, we arrive at the following representation theorem for distributive lattices:

**Representation Theorem:** Each bounded distributive lattice *L* is isomorphic to a sublattice of  $\mathscr{U}(\mathscr{X}(L))$ .

Having the Stone lemma available, it is easy to show that  $\phi$  is 1-1.

**Lemma:**  $\phi: L \to \mathscr{U}(\mathscr{X}(L))$  is 1-1.

**Proof:** Let  $a, b \in L$  and  $a \neq b$ . Then either  $a \leq b$  or  $b \leq a$ . Without loss of generality we may assume that  $a \leq b$ . Consequently, the filter  $\uparrow a$  is disjoint from the ideal  $\downarrow b$ . By Stone's Lemma, there exists  $x \in \mathscr{X}(L)$  such that  $\uparrow a \subseteq x$  and  $x \cap \downarrow b = \emptyset$ . Therefore  $a \in x$  and  $b \notin x$ . Thus  $x \in \phi(a)$  and  $x \notin \phi(b)$  so  $\phi(a) \neq \phi(b)$  and so  $\phi$  is 1-1.

As a consequence, we arrive at the following representation theorem for distributive lattices:

**Representation Theorem:** Each bounded distributive lattice *L* is isomorphic to a sublattice of  $\mathscr{U}(\mathscr{X}(L))$ . Therefore each bounded distributive lattice can be represented as a sublattice of the lattice of upsets of some poset.

However, *L* may not be isomorphic to  $\mathscr{U}(\mathscr{X}(L))$ .

However, L may not be isomorphic to  $\mathscr{U}(\mathscr{X}(L))$ . Indeed,  $\mathscr{U}(\mathscr{X}(L))$  is always a complete lattice.

However, *L* may not be isomorphic to  $\mathscr{U}(\mathscr{X}(L))$ . Indeed,  $\mathscr{U}(\mathscr{X}(L))$  is always a complete lattice. Therefore if *L* is not complete, then *L* can not be isomorphic to  $\mathscr{U}(\mathscr{X}(L))$ .

However, *L* may not be isomorphic to  $\mathscr{U}(\mathscr{X}(L))$ . Indeed,  $\mathscr{U}(\mathscr{X}(L))$  is always a complete lattice. Therefore if *L* is not complete, then *L* can not be isomorphic to  $\mathscr{U}(\mathscr{X}(L))$ .

Is there any way to single the  $\phi$ -image of *L* out of  $\mathscr{U}(\mathscr{X}(L))$ ?

However, *L* may not be isomorphic to  $\mathscr{U}(\mathscr{X}(L))$ . Indeed,  $\mathscr{U}(\mathscr{X}(L))$  is always a complete lattice. Therefore if *L* is not complete, then *L* can not be isomorphic to  $\mathscr{U}(\mathscr{X}(L))$ .

Is there any way to single the  $\phi\text{-image of }L$  out of  $\mathscr{U}(\mathscr{X}(L))?$  The answer is <code>YES</code>

However, *L* may not be isomorphic to  $\mathscr{U}(\mathscr{X}(L))$ . Indeed,  $\mathscr{U}(\mathscr{X}(L))$  is always a complete lattice. Therefore if *L* is not complete, then *L* can not be isomorphic to  $\mathscr{U}(\mathscr{X}(L))$ .

Is there any way to single the  $\phi$ -image of L out of  $\mathscr{U}(\mathscr{X}(L))$ ? The answer is YES but it involves the notion of topology—one of the fundamental notions in mathematics!

However, *L* may not be isomorphic to  $\mathscr{U}(\mathscr{X}(L))$ . Indeed,  $\mathscr{U}(\mathscr{X}(L))$  is always a complete lattice. Therefore if *L* is not complete, then *L* can not be isomorphic to  $\mathscr{U}(\mathscr{X}(L))$ .

Is there any way to single the  $\phi$ -image of L out of  $\mathscr{U}(\mathscr{X}(L))$ ? The answer is YES but it involves the notion of topology—one of the fundamental notions in mathematics!

We will outline the basic notions of topology needed for our purposes in the next lecture.